

A Natural Framework for
Bi-large Neutrino Mixing

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Outline

- Frampton-Glashow-Yanagida ansatz
 - Bi-large neutrino mixing
 - Minimal CP violation
- Natural FGY in
 - $SUSY \times [SU(2) \times U(1)]_{FS}$
 - $SUSY SO(10) \times [SU(2) \times U(1)^n]_{FS}$

Neutrinos : Masses and Mixing Angles

- $\Delta m_{atm}^2 = |m_3^2 - m_2^2| \approx 3 \times 10^{-3} \text{ eV}^2$
 $\sin 2\theta_{atm} \approx 1$
- $\Delta m_{sol}^2 = |m_2^2 - m_1^2| \approx 7 \times 10^{-5} \text{ eV}^2$
 $\sin 2\theta_{sol} \leq 1$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} \approx \begin{pmatrix} c_{sol} & s_{sol} & 0 \\ -s_{sol}/\sqrt{2} & c_{sol}/\sqrt{2} & 1/\sqrt{2} \\ -s_{sol}/\sqrt{2} & c_{sol}/\sqrt{2} & -1/\sqrt{2} \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Neutrinos masses $\sim m_\nu^T M_N^{-1} m_\nu$

Large Mixing Angles

- in m_ν (Dirac mass) ?
- in M_N (Majorana mass) ?
- or in m_e (Charged lepton mass matrix) ?

Frampton-Glashow-Yanagida ansatz

$$\begin{aligned}
 \mathcal{L} &= (\nu_e a + \nu_\mu a' e^{-i\phi/2}) N_1 \\
 &\quad + (\nu_\mu b + \nu_\tau b') N_2 \\
 &\quad + \frac{1}{2} (M_1 N_1^2 + M_2 N_2^2) \\
 \mathcal{L} &\equiv \nu D^{tr} N + \frac{1}{2} N M_N N
 \end{aligned}$$

$b \approx b', a \approx a' \implies$ Bi-Large mixing

$$\begin{aligned}
 \mathcal{M}_{FGY} &= D^{tr} M_N^{-1} D \\
 D^{tr} &= \begin{pmatrix} a & 0 \\ a' e^{-i\phi/2} & b \\ 0 & b' \end{pmatrix}
 \end{aligned}$$

$$m_{\nu_3} \approx 2b^2/M_2 \approx 0.05 \text{ eV} = \sqrt{\Delta m_{atm}^2}$$

$$m_{\nu_2} \approx 2a^2/M_1 \approx 8.4 \times 10^{-3} \text{ eV} = \sqrt{\Delta m_{sol}^2}$$

$$m_{\nu_1} = 0 \quad \theta_{13} \sim m_{\nu_2}/(\sqrt{2} m_{\nu_3})$$

FGY – Raidal and Strumia analysis

Best fit to atmospheric and solar data \implies

- $\theta_{13} = 0.078 \pm 0.015$ Observable
- $m_{ee}^{0\nu\beta\beta} = 2.6 \pm 0.4$ meV Unobservable

Successful leptogenesis – Two solutions

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$$\begin{aligned}
 M_1 \ll M_2 & \quad M_1 \approx 10^{11} \text{ GeV}/|\sin \phi| \quad \implies \phi < 0 \\
 & \quad P(\nu_e \rightarrow \nu_\mu) < P(\nu_\mu \rightarrow \nu_e) \quad \equiv P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) \\
 \text{SUSY} & \quad B(\mu \rightarrow e\gamma) \approx 2 r 10^{-13} \\
 & \quad B(\tau \rightarrow \mu\gamma) \geq 3 r 10^{-12}
 \end{aligned}$$

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$$\begin{aligned}
 M_1 \gg M_2 & \quad M_2 \approx 10^{12} \text{ GeV}/|\sin \phi| \quad \implies \phi > 0 \\
 & \quad P(\nu_e \rightarrow \nu_\mu) > P(\nu_\mu \rightarrow \nu_e) \quad \equiv P(\bar{\nu}_e \rightarrow \bar{\nu}_\mu) \\
 \text{SUSY} & \quad B(\tau \rightarrow \mu\gamma) \approx 7 r 10^{-11} \\
 & \quad B(\mu \rightarrow e\gamma) \geq r 10^{-11}
 \end{aligned}$$

$$r \approx (\tan \beta/10)^2 (150 \text{ GeV}/m_{\text{SUSY}})^4$$

"Natural" FGY texture in
SUSY w/ $[SU(2) \times U(1)]_{FS}$

Lepton weak doublets: $l_i = \begin{pmatrix} \nu_i \\ e_i \end{pmatrix}$

$L_a = \begin{pmatrix} l_1 \\ l_2 \end{pmatrix}$ - flavor doublet, l_3 - flavor singlet

Superpotential:

$$W = \frac{H_u}{M} (L_a \phi^a N_1 + L_a \bar{\phi}^a N_2 + l_3 \omega N_2) + \frac{1}{2} (S_1 N_1^2 + S_2 N_2^2)$$

VEVs $\langle \phi \rangle = \begin{pmatrix} \langle \phi^1 \rangle \\ \langle \phi^2 \rangle \end{pmatrix}$ and $\langle \bar{\phi} \rangle = \begin{pmatrix} 0 \\ \langle \bar{\phi}^2 \rangle \end{pmatrix}$

NO fine tuning

$$\langle S_i \rangle = M_i, \quad i = 1, 2 \quad \langle H_u \rangle = \begin{pmatrix} 0 \\ v \sin \beta / \sqrt{2} \end{pmatrix}$$

$$a = v \sin \beta \frac{\langle \phi^1 \rangle}{\sqrt{2M}}, \quad a' e^{-i\phi/2} = v \sin \beta \frac{\langle \phi^2 \rangle}{\sqrt{2M}},$$

$$b = v \sin \beta \frac{\langle \bar{\phi}^2 \rangle}{\sqrt{2M}}, \quad b' = v \sin \beta \frac{\langle \omega \rangle}{\sqrt{2M}}$$

Charged lepton masses

$$W_{ch. leptons} = \frac{H_d}{M} (L_a \phi^a \bar{e}_1 + L_a \tilde{\phi}^a \bar{e}_2 + l_3 (\omega \bar{e}_2 + \bar{\omega} \bar{e}_3))$$

$$\langle H_d \rangle = \begin{pmatrix} v \cos \beta / \sqrt{2} \\ 0 \end{pmatrix}$$

$$\bar{a} = v \cos \beta \frac{\langle \phi^1 \rangle}{\sqrt{2}M}, \quad \bar{a}' e^{-i\phi/2} = v \cos \beta \frac{\langle \phi^2 \rangle}{\sqrt{2}M},$$

$$\bar{b} = v \cos \beta \frac{\langle \tilde{\phi}^2 \rangle}{\sqrt{2}M}, \quad \bar{b}' = v \cos \beta \frac{\langle \omega \rangle}{\sqrt{2}M},$$

$$\bar{c} = v \cos \beta \frac{\langle \bar{\omega} \rangle}{\sqrt{2}M}$$

$$m_l = \begin{pmatrix} \bar{a} & \bar{a}' e^{-i\phi/2} & 0 \\ 0 & \bar{b} & \bar{b}' \\ 0 & 0 & \bar{c} \end{pmatrix}$$

Charged lepton masses – continued

$$m_l = \begin{pmatrix} \bar{a} & \bar{a}' e^{-i\phi/2} & 0 \\ 0 & \bar{b} & \bar{b}' \\ 0 & 0 & \bar{c} \end{pmatrix}$$

- $\bar{a}, \bar{a}' \ll \bar{b}, \bar{b}' \ll \bar{c}$
 - $m_e \approx \bar{a}, \quad m_\mu \approx \bar{b}, \quad m_\tau \approx \bar{c}$
- $m_l^{diagonal} = U_e^\dagger m_l U_e \quad U_e \approx \text{Diag}(1, e^{i\phi/2}, -e^{i\phi/2})$

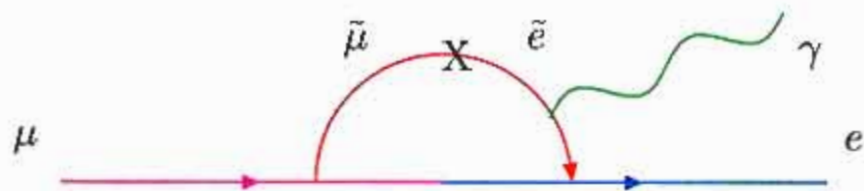
$$\mathcal{M} = U_e^{tr} [D^{tr} M_N^{-1} D] U_e \approx \mathcal{M}_{FGY}$$

$$D^{tr} = \begin{pmatrix} a & 0 \\ a' e^{-i\phi/2} & b \\ 0 & b' \end{pmatrix}$$

- $(m_e/m_\mu)^2 \approx (\bar{a}/\bar{b})^2 \approx (a/b)^2 \approx (M_1/M_2)(m_{\nu_2}/m_{\nu_3})$
- $\implies (M_1/M_2) \sim 10^{-4} \quad [\text{RS Ambiguity Resolved !}]$

*SU*₂ family symmetry
Ameliorates SUSY Flavor Problem

— Dine, Kagan & Leigh; Pomarol & Tomassini; Barbieri, Dvali & Hall



What Scale ?

$$M \sim M_1 \sim M_2 \gg M_Z$$

Leptogenesis $\Rightarrow M_1, M_2 > 10^{11}$ GeV

$$M = M_{GUT} ?$$

SUSY GUTs

- $M_{GUT} \sim 3 \times 10^{16}$ GeV
- $M_Z \ll M_{GUT}$ “Natural”
- Explains Charge Quantization
- Predicts Gauge Coupling Unification*
- Predicts Yukawa Coupling Unification
- + Family Symmetry \Rightarrow
 - Hierarchy of Fermion Masses
 - Protects against large flavor violation
- Neutrino Masses via See - Saw scale $\sim 10^{-3} - 10^{-2} M_G$
 $\sim M_G^2/M_{Pl}$
- LSP – Dark Matter Candidate
- Baryogenesis via Leptogenesis

SO₁₀ SUSY GUT × [SU₂ × U₁ⁿ]_{FS}

— Barbieri, Hall, S.R. & Romanino; Blazek, S.R. & Tobe

The superpotential for the charged fermion sector, including the heavy Froggatt-Nielsen states — { χ^a , $\bar{\chi}_a$ }

$$W \supset \quad 16_3 \ 10_H \ 16_3 + 16_a \ 10_H \ \chi^a \\ + \bar{\chi}_a \left(M_\chi \ \chi^a + 45 \frac{\phi^a}{M} \ 16_3 + 45 \frac{\tilde{\phi}^a \tilde{\phi}^b}{M^2} \ 16_b + A^{ab} \ 16_b \right)$$

where

- $16_a \ a, b = 1, 2 \quad \text{— 3 families}$
 16_3
- $10_H \implies$ Higgs doublets
- $M_\chi = M(1 + \alpha X + \beta Y)$

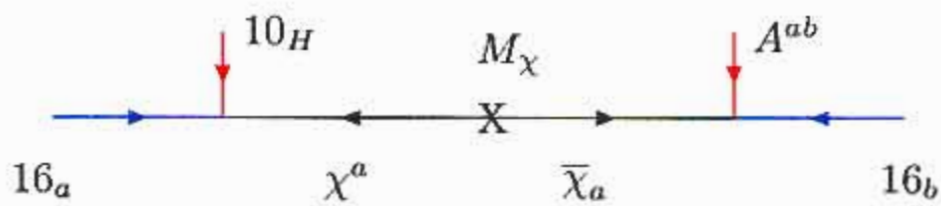
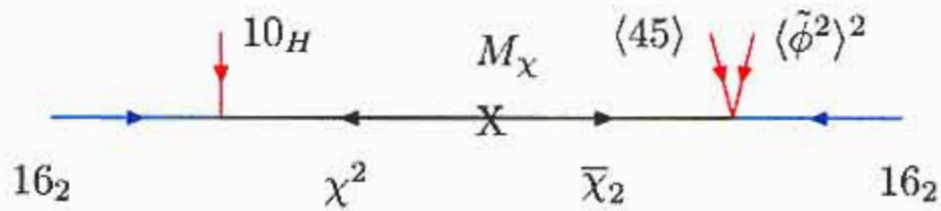
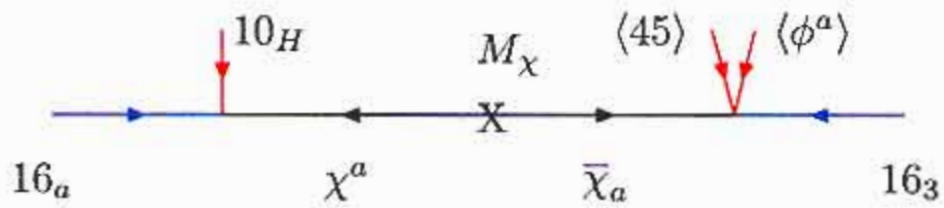
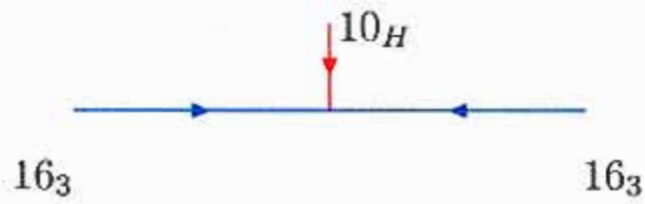
X, Y — SO(10) breaking vevs in the adjoint representation

X corresponding to the U(1) in SO(10) which preserves SU(5),

Y is standard weak hypercharge and

- α, β are arbitrary parameters.

Effective Fermion Mass Operators



Effective Yukawa Couplings

$$\begin{aligned}
 Y_u &= \begin{pmatrix} 0 & \epsilon' \rho & -\epsilon \xi \\ -\epsilon' \rho & \bar{\epsilon} \rho & -\epsilon \\ \epsilon \xi & \epsilon & 1 \end{pmatrix} \lambda \\
 Y_d &= \begin{pmatrix} 0 & \epsilon' & -\epsilon \xi \sigma \\ -\epsilon' & \bar{\epsilon} & -\epsilon \sigma \\ \epsilon \xi & \epsilon & 1 \end{pmatrix} \lambda \\
 Y_e &= \begin{pmatrix} 0 & -\epsilon' & 3 \epsilon \xi \\ \epsilon' & 3 \bar{\epsilon} & 3 \epsilon \\ -3 \epsilon \xi \sigma & -3 \epsilon \sigma & 1 \end{pmatrix} \lambda
 \end{aligned} \tag{1}$$

with

$$\begin{aligned}
 \xi &= \langle \phi^1 \rangle / \langle \phi^2 \rangle; & \bar{\epsilon} &\propto (\langle \tilde{\phi}^2 \rangle / M)^2; \\
 \epsilon &\propto \langle \phi^2 \rangle / M; & \epsilon' &\sim \langle A^{12} \rangle / M; \\
 \sigma &= \frac{1 + \alpha}{1 - 3\alpha}; & \rho &\sim \beta \ll \alpha.
 \end{aligned} \tag{2}$$

Features of the Model

1. Family Hierarchy

$$SU_2 \times U_1 \longrightarrow U_1 \longrightarrow \text{nothing}$$
$$\epsilon, \tilde{\epsilon} \qquad \epsilon'$$

3rd family \gg 2nd family \gg 1st family

2. Patterns - approximate Georgi - Jarlskog "natural"

$$\langle 45 \rangle = (B - L)M_G$$

$$m_s \sim \frac{1}{3}m_\mu$$

$$m_d \sim 3m_e$$

3. $\lambda_t = \lambda_b = \lambda_\tau = \lambda_{\nu_\tau} = \lambda @M_G$

4. $\beta \ll \alpha \sim 1 \implies m_u < m_d$ even though $m_t \gg m_b$

5. Gauge Coupling Unification

6. SU_2 suppresses flavor violation such as $\mu \rightarrow e\gamma$

7. 10 Yukawa parameters fits 13 fermion masses and mixing angles

following *Blazek, S.R. & Tobe*

| Observable | Data(σ) (masses) | Theory in GeV) | Pull |
|---------------------------------------|------------------------------|-------------------|--------|
| M_Z | 91.188 (0.091) | 91.21 | < 0.50 |
| M_W | 80.419 (0.080) | 80.40 | < 0.50 |
| $G_\mu \cdot 10^5$ | 1.1664 (0.0012) | 1.166 | < 0.50 |
| α_{EM}^{-1} | 137.04 (0.14) | 137.0 | < 0.50 |
| $\alpha_s(M_Z)$ | 0.11720 (0.002) | 0.1139 | 2.65 |
| M_t | 174.30 (5.1) | 171.3 | < 0.50 |
| $m_b(M_b)$ | 4.220 (0.09) | 4.377 | 3.04 |
| $M_b - M_c$ | 3.400 (0.2) | 3.430 | < 0.50 |
| $m_c(m_c)$ | 1.3000 (0.15) | 1.212 | < 0.50 |
| m_s | 0.089 (0.011) | 0.100 | 1.01 |
| m_d/m_s | 0.050 (0.015) | 0.0751 | 2.80 |
| Q^{-2} | 0.00203 (0.00020) | 0.00200 | < 0.50 |
| M_τ | 1.777 (0.0018) | 1.777 | < 0.50 |
| M_μ | 0.10566 (0.00011) | .1057 | < 0.50 |
| $M_e \cdot 10^3$ | 0.5110 (0.00051) | 0.5110 | < 0.50 |
| V_{us} | 0.2230 (0.0040) | 0.2213 | < 0.50 |
| V_{cb} | 0.04020 (0.0019) | 0.0391 | < 0.50 |
| V_{ub}/V_{cb} | 0.0860 (0.008) | 0.0850 | < 0.50 |
| V_{td} | 0.00820 (0.00082) | 0.00846 | < 0.50 |
| ϵ_K | 0.00228 (0.00023) | 0.00233 | < 0.50 |
| $\sin 2\beta$ | 0.7270 (0.036) | 0.6898 | 1.07 |
| $B(b \rightarrow s\gamma) \cdot 10^4$ | 3.340 (0.38) | 3.433 | < 0.50 |
| TOTAL χ^2 | 12.16 | | |

Bi-large Neutrino Mixing in
 $SO_{10} \times [SU_2 \times U_1]_{FS}$ model

$$Y_\nu = \begin{pmatrix} 0 & -\epsilon' \omega & \frac{3}{2} \epsilon \xi \omega \\ \epsilon' \omega & 3 \tilde{\epsilon} \omega & \frac{3}{2} \epsilon \omega \\ -3 \epsilon \xi \sigma & -3 \epsilon \sigma & 1 \end{pmatrix} \lambda$$

with $\omega = 2\sigma/(2\sigma - 1)$

\Rightarrow Dirac neutrino mass matrix - $m_\nu \equiv Y_\nu \frac{v}{\sqrt{2}} \sin \beta$

$$W_{neutrino} = \frac{\overline{16}}{M} \left(N_1 \tilde{\phi}^a 16_a + N_2 \phi^a 16_a + N_3 \theta 16_3 \right) \\ + \frac{1}{2} (S_1 N_1^2 + S_2 N_2^2)$$

- Effective neutrino mass

$$W_\nu^{eff} = \nu m_\nu \bar{\nu} + \bar{\nu} V N + \frac{1}{2} N M_N N$$

$$(V^{tr})^{-1} = \frac{M}{v_{16}} \begin{pmatrix} -1/\langle\tilde{\phi}^2\rangle \xi & 1/\langle\phi^1\rangle & 0 \\ 1/\langle\tilde{\phi}^2\rangle & 0 & 0 \\ 0 & 0 & 1/\langle\theta\rangle \end{pmatrix}$$

•

$$\mathcal{M} = U_e^{tr} [m_\nu (V^{tr})^{-1} M_N V^{-1} m_\nu^{tr}] U_e$$

$$D^{tr} \equiv m_\nu (V^{tr})^{-1} M_N \begin{pmatrix} 1 & 0 \\ 0 & 1 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} a & 0 \\ a' & b \\ 0 & b' \end{pmatrix}$$

•

$$\mathcal{M} = U_e^{tr} [D^{tr} \hat{M}_N^{-1} D] U_e$$

$$\hat{M}_N \equiv \begin{pmatrix} M_1 & 0 \\ 0 & M_2 \end{pmatrix}$$

Gives “natural” Glashow, Frampton & Yanagida ansatz

⇒ Bi-large neutrino mixing matrix

$$Y_\nu (V^{tr})^{-1} \sim$$

$$\begin{pmatrix} 0 & -e' \omega & \frac{3}{2} \epsilon \xi \omega \\ e' \omega & 3 \tilde{e} \omega & \frac{3}{2} \epsilon \omega \\ -3 \epsilon \xi \sigma & -3 \epsilon \sigma & 1 \end{pmatrix} \\ \times \begin{pmatrix} -1/(\langle \tilde{\phi}^2 \rangle \xi) & 1/\langle \phi^1 \rangle & 0 \\ 1/\langle \tilde{\phi}^2 \rangle & 0 & 0 \\ 0 & 0 & 1/\langle \theta \rangle \end{pmatrix} \\ \sim \begin{pmatrix} a & 0 & X \\ a' & b & X \\ 0 & b' & X \end{pmatrix}$$

- ZEROs are EXACT !!

$b \sim b' : \text{"Natural"}$

$$\epsilon' \sim \epsilon \xi$$

$$b \equiv \epsilon' \omega \lambda (M_2/\phi^1) \frac{M}{v_{16}} \frac{v \sin \beta}{\sqrt{2}}$$

$$b' \equiv -3 \epsilon \xi \sigma \lambda (M_2/\phi^1) \frac{M}{v_{16}} \frac{v \sin \beta}{\sqrt{2}}$$

$a \sim a' : \text{minor fine-tuning } O(1/10)$

$$\epsilon' \xi^{-1} \sim \tilde{\epsilon} \implies \text{minor fine-tuning}$$

$$a \equiv -\epsilon' \omega \lambda (M_1/\tilde{\phi}^2) \frac{M}{v_{16}} \frac{v \sin \beta}{\sqrt{2}}$$

$$a' \equiv (-\epsilon' \xi^{-1} + 3 \tilde{\epsilon}) \omega \lambda (M_1/\tilde{\phi}^2) \frac{M}{v_{16}} \frac{v \sin \beta}{\sqrt{2}}$$

$$M_1/M_2 \sim 10^3$$

$$m_{\nu_2}/m_{\nu_3} \approx (m_e/m_\mu) (M_1/M_2) \tilde{\epsilon}$$

Summary

- Frampton-Glashow-Yanagida ansatz
 - One CP violating angle \implies Sign of matter - anti-matter asymmetry correlated with the CP violating neutrino oscillations.
EXCEPT for Raidal-Strumia ambiguity ($M_1 \ll M_2$ or $M_1 \gg M_2$)!
 - Natural framework for bi-large neutrino mixing !
- SUSY \times $[SU(2) \times U(1)]_{FS}$
 - FGY ansatz “naturally” and resolves the RS ambiguity.
- SUSY $SO(10) \times [SU(2) \times U(1)^n]_{FS}$
 - FGY ansatz “naturally” and bi-large neutrino mixing is “natural.”
 - But, in general, there are more CP violating angles.