

Neutrino Masses, Unification And Our Origin

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Seesaw Workshop
Feb, 2004

I. Introduction

A) Why Neutrinos are special?

Since the discoveries/confirmations of Atmospheric & Solar ν -oscillations, ν 's have emerged as being ^{among} the most effective probes into the nature of Higher Unification

→ Simply because of their ^{non-zero but} tiny masses

$$m_{\nu e}/m_e \lesssim 10^{-6} ; \boxed{(m_{\nu e}/m_{\text{top}}) \sim 10^{-11}}$$

→ A subtle clue, ^(via the seesaw mechanism) to some of the deepest Laws of Nature pertaining to

- The Unification - Scale
- Nature of the Unification - Symmetry

→ In this sense, ν 's provide us with a rare window to view physics at truly short distances ($\sim 10^{-30}$ cm).

→ Furthermore, it seems most likely that² tiny ν -masses are also at the root of the

→ Origin of matter - Anti-matter Asymmetry

→ Thus ν 's may also be crucial to our own origin!

IB) Why ν -Masses Suggest Physics Beyond SM?

SM: $SU(2)_L \times U(1)_Y \times SU(3)_C$

$$\begin{pmatrix} u \\ d \end{pmatrix}_L, u_R, d_R, \begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L, e_R, \cancel{\nu}_R$$

ν_L can acquire Majorana Mass Using Quantum gravity:

$$\lambda_L LLHH / M_{\text{Planck}} + hc \quad (|\Delta L|=2)$$

$$\rightarrow m(\nu_L) \sim \lambda_L (250 \text{ GeV})^2 / 10^{19} \text{ GeV}$$

$$\sim \lambda_L (0.6) \times 10^{-5} \text{ eV} \quad (\text{Too Small})$$

$$\text{Superk} \Rightarrow \sqrt{\Delta m_{23}^2} \approx \frac{1}{20} \text{ eV}$$

Can argue Need New Physics at an eff. scale $\sim 10^{15} \text{ GeV}$ \leftrightarrow Can Link ~~to~~ to scale of meeting of 3 gauge couplings $\sim 2 \times 10^{16} \text{ GeV}$

\rightarrow HINT AT A LINK BETWEEN ν -OSCILL & Grand Unification!

IC) Present A Unified Picture of

4

- fermion Masses & Mixings
- ν - Oscillations
- CP & Flavor Violations
- Baryogenesis via Leptogenesis

Main Theme will be to exhibit how all these pieces & more fit together neatly within a single predictive Unified Picture based on

Symmetry $G(224) = SU(2)_L \times SU(2)_R \times SU(4)^c$ or $SO(10)$ in 4D with SUSY.

Crucial Ingredients of this picture are:

- EXISTENCE OF $\nu_R \leftrightarrow SU(4)^c$ or $SU(2)_L \times SU(2)_R$
- SUSY UNIF. SCALE \leftrightarrow SUSY GUT // $M(\nu_R)$
- Symmetry $SU(4)^c$
 - $\leftrightarrow m_b^0 \approx m_\tau$ & More
 - $\leftrightarrow m(\nu_{Dirac}^c) \approx m_t(M_x)$
 - \leftrightarrow B-L protects $M(\nu_R)$
- SeeSaw $\leftrightarrow m(\nu_L^c) \approx m(\nu_{Dirac}^c)^2 / M(\nu_R)$

Success of the Unified Framework, involving ⁵
8 predictions for fermion masses & ν -oscillations,
and for leptogenesis

→ Strong Evidence in favor of the 4 ingredients:
 ν_R / SUSY Unif / $SU(4)^c$ / See Saw

Minimally An Eff. Symmetry
String $SU(2)_L \times SU(2)_R \times SU(4)^c // \text{SO}(10) // \text{in 4D}$.

Plan

II) Symmetries Beyond the SM

III) Insight From SuperK Result: $\sqrt{\Delta m_{23}^2} \sim \frac{1}{20} \text{ eV}$

III (q, l, ν) Masses & Mixings Within a Predictive
 $G(224)$ or $SO(10)$ -Framework (BPW)

IV) ν Masses \leftrightarrow Leptogenesis)) (Pati, 2003)

V) ν Masses \leftrightarrow CP, LFV,)) (B.P. Rastogi, 2004)

VI) ν Masses \leftrightarrow Proton Decay)) (BPW)

VII) Summary

II) Symmetries Beyond the SM: Family Multiplet Structure

$$G(213) = SU(2)_L \times U(1)_{Y_W} \times SU(3)_C$$

$$\begin{pmatrix} u_r & u_y & u_b \\ d_r & d_y & d_b \end{pmatrix}_L^{1/3}; \begin{pmatrix} u_r & u_y & u_b \\ d_r & d_y & d_b \end{pmatrix}_R^{4/3}; \begin{pmatrix} u_r & u_y & u_b \\ d_r & d_y & d_b \end{pmatrix}_R^{-2/3}; \begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L^{-1}; \begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_R^{-2}$$

$$Q_{em} = I_{3L} + Y_W/2$$

5 disconnected multiplets in 1 Family // Y_W ? //

Q_{em} ? // $Q_{e^-} = -Q_p$ // co-exist of (q, l) // g_1, g_2, g_3 ?



P, S
72/73

$$G(224) = [SU(2)_L \times SU(2)_R \times SU(4)^C] \otimes (L \leftrightarrow R)$$

$$F_{L,R}^e = \begin{bmatrix} u_r & u_y & u_b & \nu_e \\ d_r & d_y & d_b & e^- \end{bmatrix}_{L,R}$$

All 16 in one L-R conj. mult / Y_W / Q_{em} quantized /
 $Q_{e^-} = -Q_p$ / $\{q, l\}$ unif / (W, E, S) / ν_R / $B-L$ Generator

$$Q_{em} = I_{3L} + I_{3R} + \frac{B-L}{2}$$



$G(213) \rightarrow SU(5)$
 $\bar{5} + 10$
Georgi & Glashow (74)
NO ν_R , NO $B-L$

$$SO(10): 16$$

(1 gauge coupling / $g_1 = g_2 = g_3$ at M_U)

(Georgi // Fritzsch, Minkowski (74/75))

III) Insight From SuperK Result: $\sqrt{\Delta m_{23}^2} \approx 1/20 \text{ eV}$

SeeSaw

$$m(\nu_L^\tau) \approx \frac{m(\nu_{\text{Dirac}}^\tau)^2}{M(\nu_R^\tau)}$$

Gell-Mann, Ramond,
Slansky //
Yanagida //
Mohapatra,
Senjanovic

Ignore Mixing
for a moment

(a) $m(\nu_{\text{Dirac}}^\tau) \approx m_t (M_X) \approx 120 \text{ GeV}$

SU(4) - Color
SU(5), [SU(3)]³

$m_b \approx m_\tau$

(b) Get $M(\nu_R^\tau)$ from SUSY Uni f. Scale: $M_X \approx 2 \times 10^{16} \text{ GeV}$

$$f_{33} \frac{16_3 16_3 \langle \bar{16}_H \rangle \langle \bar{16}_H \rangle}{M} \Rightarrow M(\nu_R^\tau) \sim \frac{(2 \times 10^{16} \text{ GeV})^2}{10^{18} \text{ GeV}}$$

(≈ 1) $M \rightarrow \sim 10^{18} \text{ GeV}$

$$\approx 4 \times 10^{14} \text{ GeV } (\frac{1}{2} - 2)$$

$$m(\nu_L^\tau) \sim \frac{(120 \text{ GeV})^2}{4 \times 10^{14} \text{ GeV}} \approx \left(\frac{1}{30} \text{ eV}\right) \left(\frac{1}{2} \text{ to } 2\right)$$

Also get $m(\nu_L^\mu) \sim \frac{m(\nu_L^\tau)}{10} \Rightarrow \sqrt{\Delta m_{23}^2} \approx \left(\frac{1}{30} \text{ eV}\right) \left(\frac{1}{2} - 2\right)$

Thus SuperK result brings to light the existence of ν_R // reinforces the ideas of
a) SeeSaw // (b) SU(4) color // & (c) SUSY Unif.

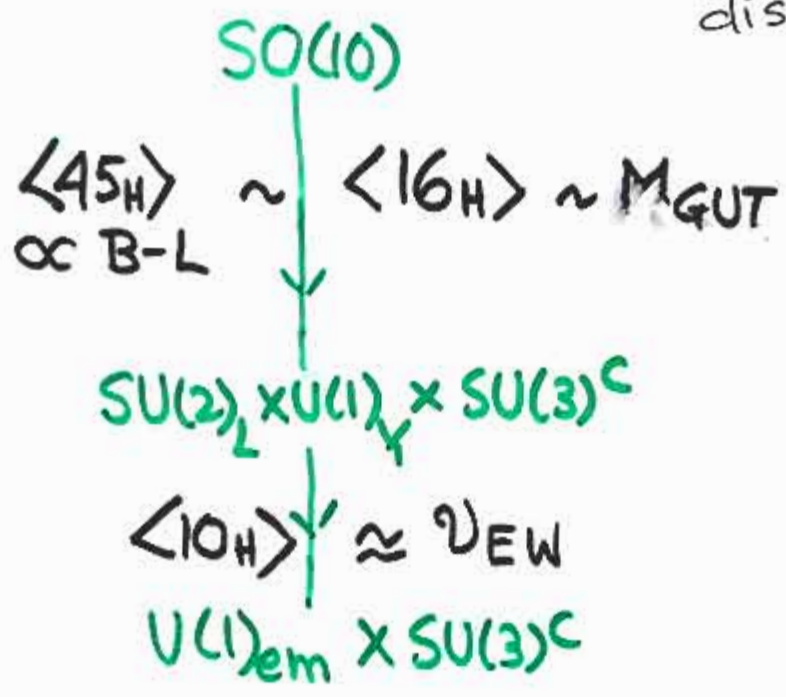
IV $(9, l, \nu)$ - Masses & Mixings Within a Predictive $G(224)$ or $SO(10)$ -Framework (Baby, Pati Wilczek)

Minimal Higgs For $SO(10)$ -Breaking

$45_H, 16_H, \overline{16}_H, 10_H$, ~~$126_H, 120_H, 54_H$~~

Allowed by String Solns

Too large GUT-Scale Threshold Corrections // Seems disallowed by strings



- Only allowed cubic coupling
 $16_i 16_j 10_H \rightarrow B-L \text{ indep // Symmetric in } i \leftrightarrow j$
- Need B-L dependence $\rightarrow m_s^0 \sim m_\mu^0/3$
 & Also Antisymmetric piece

Assume Flavor Symmetries ~~*~~ Such that

- 3rd Family gets the dominant contribution $\rightarrow h_{33} 16_3 16_3 10_H$

The lighter Families get their masses primarily through off-diagonal mixings with the heavier families:

"33" \gg "23" \gg "22" \gg "12" \gg "11" etc.

$$U(1) \rightarrow \begin{array}{c|c|c|c|c|c|c|c} 16_3 & 16_2 & 16_1 & 10_H & 16_H & \bar{16}_H & 45_H & S \\ \hline a & a+1 & a+2 & -2a & -a-\frac{1}{2} & -a & 0 & -1 \end{array}$$

$$h_{33} 16_3 16_3 10_H + \tilde{h}_{23} 16_2 16_3 10_H \left(\frac{S}{M}\right) \rightarrow \nu_{10}$$

$$+ \tilde{h}_{22} 16_2 16_2 10_H \left(\frac{S}{M}\right)^2 + \dots$$

"a" gets fixed from LMA soln $\rightarrow \nu_L^e \nu_L^\mu$ Mass

$$16_1 16_2 16_H 16_H 10_H 10_H / M^3 \text{ Leading if } \boxed{a = -\frac{1}{2}}$$

~~*~~ String solns generically do yield Flavor Symmetries

A Concrete Example: Minimal Higgs: $\{45_H, 16_H, \bar{16}_H, 10_H\} + "S"$

1st ^{consider} Only μ & τ Families (Flavor sym: $\mu \neq \tau$)

$\mathcal{L}_{mass} = h_{33} 16_3 16_3 \langle 10_H \rangle \rightarrow$ 3rd Family: $m_b^0 = m_\tau^0$
 $\propto \textcircled{1} \leftarrow + \tilde{h}_{23} 16_2 16_3 \langle 10_H \rangle \langle S/M \rangle \rightarrow$ 2nd Family
 $\propto \textcircled{5} \leftarrow$ ANTI SYMMETRIC, $\propto B-L$
 $\propto \textcircled{E} \leftarrow + a_{23} 16_2 16_3 \langle 10_H \rangle \langle \frac{45_H}{M} \rangle \rightarrow m_\mu^0 \neq m_s^0$
 $\propto \textcircled{\eta^\wedge} \leftarrow + g_{23} 16_2 16_3 \langle 16_H \rangle \langle 16_H^D \rangle_{EW} / M$
 $\hookrightarrow CKM \neq 1$

$10_H \rightarrow (2 \leftrightarrow 2) \ll (2 \leftrightarrow 3) \ll (3,3)$ Flavor sym

$$U = \begin{pmatrix} c & t \\ 0 & \epsilon + \sigma \\ -\epsilon + \sigma & 1 \end{pmatrix} m_U^0 \quad D = \begin{pmatrix} s & b \\ 0 & \epsilon + \eta \\ -\epsilon + \eta & 1 \end{pmatrix} m_D^0$$

$$N_{Dirac} = \begin{pmatrix} \nu_\mu & \nu_\tau \\ 0 & -3\epsilon + \sigma \\ +3\epsilon + \sigma & 1 \end{pmatrix} m_U^0 \quad L = \begin{pmatrix} \mu & \tau \\ 0 & -3\epsilon + \eta \\ 3\epsilon + \eta & 1 \end{pmatrix} m_D^0$$

Note $q-l$ correlation (SU(4) - color)
 up-down " (SU(2)_L x SU(2)_R)

$$\boxed{\eta \equiv \hat{\eta} + \sigma}$$

Dirac Masses (3 Families)

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$$U = \begin{pmatrix} 0 & \epsilon' & 0 \\ -\epsilon' & 0 & \epsilon + \sigma \\ 0 & -\epsilon + \sigma & 1 \end{pmatrix} m_D^0 ; \quad D = \begin{pmatrix} 0 & \epsilon + \eta' & 0 \\ -\epsilon + \eta' & 0 & \epsilon + \eta \\ 0 & \epsilon + \eta & 1 \end{pmatrix} m_D^0$$

$$N = \begin{pmatrix} 0 & -3\epsilon' & 0 \\ 3\epsilon' & 0 & -3\epsilon + \sigma \\ 0 & 3\epsilon + \sigma & 1 \end{pmatrix} m_D^0 ; \quad L = \begin{pmatrix} 0 & \epsilon + \eta' & 0 \\ -\epsilon + \eta' & 0 & -3\epsilon + \eta \\ 0 & +3\epsilon + \eta & 1 \end{pmatrix} \times m_D^0$$

2 New param (ϵ', η'), but 5 new observables just in (ν, ℓ) system \Rightarrow 3 New predictions for (ν, ℓ) // With $\epsilon' = 0 \rightarrow m_u \rightarrow 0$

ν Majorana Masses

$$M_R^\nu = \begin{pmatrix} x & 0 & z \\ 0 & 0 & y \\ z & y & 1 \end{pmatrix} M_R$$

Saw before,

$$M_R \approx 10^{15} \text{ GeV}$$

Expect $y \sim 1/10$

$$f_{ij} 16_i 16_j \langle \overline{16}_H \rangle \langle \overline{16}_H \rangle / M_{St}$$

$$f_{ij} \nu_{iR}^T \bar{c}' \nu_{jR} \langle \overline{16}_H \rangle^2 / M_{St}$$

$$(M_R^\nu)_{ij} = f_{ij} \langle \overline{16}_H \rangle^2 / M_{St}$$

Note same hier. pattern as in Dirac sector

Including $m_U^0 \rightarrow$ 7 param ($\eta, \epsilon, \sigma, \eta', \epsilon', m_U^0, m_D^0$)
describing $9 \times 4 = 36$ entries \rightarrow Will it work?

Input! Assume all param real for a moment

$m_t^{phys} = 174 \text{ GeV}; m_c(m_c) = 1.37 \text{ GeV},$
 $m_s(1\text{GeV}) = 116 \text{ MeV}, m_u, m_c, m_u(M_x) = 1.5 \text{ MeV}, m_e$



$\sigma \approx 0.110, \eta \approx 0.151, \epsilon \approx -0.095,$
 $\epsilon' = \sqrt{m_e/m_c} (m_c/m_t) \approx 2 \times 10^{-4}; \eta' = \sqrt{m_e/m_u} (m_c/m_t) \approx 4 \times 10^{-3}$
 $m_U^0 = m_t(M_x) \approx 110 \text{ GeV}; m_D^0 \approx 1.5 \text{ GeV}$

Majorana Mass of ν_R 's: $f_{ij} 16_i 16_j 16_H 16_H / M$

$\Rightarrow f_{ij} (\nu_R^{iT} \bar{e}^i \nu_R^j) < \langle 16_H \rangle^2 / M$

$M_R^\nu = \begin{pmatrix} x & 0 & z \\ 0 & 0 & y \\ \cancel{z} & y & 1 \end{pmatrix}$

\rightarrow determined by $m_{22}/m_{23} \approx 1/7$

\rightarrow **M_R**

\rightarrow calculated $\approx 5 \times 10^{14} \text{ GeV}$.

6 New observables.

Summary on Fermion Masses & Mixings (BPW) 14

Predictions

$$m_b(m_b) \approx (4.7 - 4.9) \text{ GeV}$$

$$m(\nu_\tau) \approx (\frac{1}{30} \text{ eV}) (\frac{1}{2} - 2)$$

$$V_{cb} \approx 0.042$$

$$\sin^2 2\theta_{\nu_\mu \nu_\tau}^{\text{osc}} \approx 0.92 \leftrightarrow \boxed{0.99}$$

LMA

$$V_{us} \approx 0.22$$

$$|V_{ub}| \approx 0.0032$$

$$m_d(1 \text{ GeV}) \approx 8 \text{ MeV}$$

$$m(\nu_\mu) \approx (2 - 10) \times 10^{-3} \text{ eV} \leftrightarrow \left\{ \begin{array}{l} \text{SMA} \sim 3 \times 10^{-3} \text{ eV} \\ \text{MA} \approx 7 \times 10^{-3} \text{ eV} \end{array} \right.$$

$$m(\nu_e) \sim (1 \text{ to few}) \times 10^{-3} \text{ eV}$$

consistent with the framework

$$M(\nu_R^c, \nu_R^u, \boxed{\nu_R^e}) \approx (10^{15}, 2 \cdot 10^{12}, (\frac{1}{3} - 3) \times 10^{10} \text{ GeV})$$

Just right for leptogenesis

Observations

$$\approx 4.2 \text{ GeV}$$

$$\approx (\frac{1}{15} - \frac{1}{25}) \text{ eV} \otimes$$

$$\approx 0.04$$

$$\approx 0.92 \leftrightarrow 1$$

$$\approx 0.21$$

$$\approx 0.003 - 0.004$$

$$\approx 8 - 10 \text{ MeV}$$

Predictions

Writing only for 2x2 (for simplicity)

$$U = \begin{pmatrix} c & t \\ 0 & \epsilon + \sigma \\ -\epsilon + \sigma & 1 \end{pmatrix} m_U^0 \quad D = \begin{pmatrix} s & b \\ 0 & \epsilon + \eta \\ -\epsilon + \eta & 1 \end{pmatrix} m_D^0$$

$$N = \begin{pmatrix} 0 & -3\epsilon + \sigma \\ 3\epsilon + \sigma & 1 \end{pmatrix} m_U^0 \quad L = \begin{pmatrix} \mu & \tau \\ 0 & -3\epsilon + \eta \\ 3\epsilon + \eta & 1 \end{pmatrix} m_D^0$$

↓

$$m_b^0 \approx m_\tau^0 (1 - 8\epsilon^2) \Rightarrow m_b(m_b) \approx 4.7 \text{ TeV}$$

$$V_{cb} = \left| \sqrt{\frac{m_s}{m_b}} \left(\frac{\eta + \epsilon}{\eta - \epsilon} \right)^{1/2} - \sqrt{\frac{m_c}{m_t}} \left(\frac{\sigma + \epsilon}{\sigma - \epsilon} \right)^{1/2} \right| = |s - \eta|$$

(0.156) (1/2.2) **Suppressed** = 0.042

ENHANCED ≈ 1.8

$$\Theta_{\nu_\mu \nu_\tau}^{osc} = |\Theta_{\mu\tau}^l - \Theta_{\mu\tau}^{\nu}| \approx \left| \sqrt{\frac{m_\mu}{m_\tau}} \left(\frac{\eta - 3\epsilon}{\eta + 3\epsilon} \right)^{1/2} + \sqrt{\frac{m_{\nu_2}}{m_{\nu_3}}} \right|$$

= 0.437 + $\sqrt{m_{\nu_2}/m_{\nu_3}}$ ≈ 0.3

Expt
↓
0.92 ↔ 1

$$\Rightarrow \sin^2 2\Theta_{\nu_\mu \nu_\tau}^{osc} = 0.92 \leftrightarrow 0.99$$

↓ ↓

$$m_{\nu_2}/m_{\nu_3} = \left(\frac{1}{15} \right) \leftrightarrow \left(\frac{1}{7} \right) \text{ LMA.}$$

SMA or LMA?

Just with Standard See-Saw ν_L -masses,
SMA rather generic

$$m(\nu_L^e) \sim 2 \times 10^{-5} - 2 \times 10^{-6} \text{ eV} \quad // \quad m(\nu_L^\mu) \sim 3 \times 10^{-3} \text{ eV}$$

$$\Theta_{\nu_e \nu_\mu}^{\text{osc}} = \Theta_{e\mu}^L - \Theta_{e\mu}^\nu \approx 0.05$$

Situation alters once allow for direct Major. masses of ν_i 's — Most likely to arise through Higher Dim. op. involving GUT & EW VEV's — Through tiny $\sim 10^{-3} \text{ eV}$ entries \rightarrow IMPORTANT For $(\nu_e - \nu_\mu)$

$$W \supset g_{12} \overbrace{16_1 16_2} \overbrace{16_H 16_H} 10_H 10_H / M_{\text{GUT}}^3$$

$$g_{12} (\nu_L^e \nu_L^\mu) \left(\frac{\langle \nu_{RH} \rangle}{M_{\text{GUT}}} \right)^2 \rightarrow (\nu_\mu^2)$$

$$\sim g_{12} (\nu_L^e \nu_L^\mu) (1.5 - 6) \times 10^{-3} \text{ eV} \quad (\sim 175 \text{ GeV})^2$$

$$(\langle 16_H \rangle \approx (1-2) M_{\text{GUT}})$$

$$\left[\begin{array}{cc} \nu_L^e & \nu_L^\mu \\ \approx 0 & (3-4) \\ (3-4) & 7 \end{array} \right] \times 10^{-3} \text{ eV} \Rightarrow \left[\begin{array}{c} \Theta_{\nu_e \nu_\mu}^\nu \approx 1/2 \\ \sin^2 2\Theta_{\nu_e \nu_\mu}^{\text{osc}} \approx 0.7 \end{array} \right] \text{ quite plausible}$$

Thus LMA not strictly a prediction, but perfectly plausible within the framework.

Θ_{13}

$$m(\nu_L^e \nu_L^\tau)_{\text{Non-Seesaw}} \sim (2-6) \times 10^{-3} \text{ eV}$$

$$\Theta_{13} \sim \frac{(2-6) \times 10^{-3} \text{ eV}}{5 \times 10^{-2} \text{ eV}}$$

$$\sim 0.03 - 0.1$$

ν -less 2β decay: $\Delta L = \pm 2$

$$m_{ee} = \left| \sum_i m_i U_{ei}^2 \right|$$

$$m_1 \sim \text{few} \times 10^{-3} \text{ eV}, \quad m_2 \approx (6-8) \times 10^{-3} \text{ eV}$$

$$m_3 \approx 5 \times 10^{-2} \text{ eV}$$

$$\Theta_{12} \approx 1/2, \quad \Theta_{13} \sim 0.03 - 0.1$$

↓

$$m_{ee} \sim (1 \text{ to } 6) \times 10^{-3} \text{ eV}$$

Summary on Fermion Masses & Mixings in the $G(224)/SO(10)$ Framework

Given the bizarre pattern of masses & mixings of quarks, charged leptons and neutrinos, it seems remarkable that the simple pattern of fermion mass matrices*, motivated in large part by the group th of $G(224)/SO(10)$ and the assumption of minimality of the system of \wedge Higgs \wedge makes 7 predictions in agreement with observation.

→ Study Proton Decay // Leptogenesis // CP within this framework.

* Need to understand the origin of flavor symmetries.
→ Hierarchical entries.

V ν Masses \leftrightarrow Leptogenesis Within The Same $G(224) // SO(10)$ - Framework

JCP (hep-ph/2002, Phy Rev 2003)

Idea: (Fukugita, Yanagida // Sphaleron \rightarrow Kuzmin Rubakov & Shaposhnikov)

Inflation \rightarrow Reheat \rightarrow Superheavy ν_R 's (N_1)

From Thermal Bath // Or Non-Thermal Inflaton Decay

$\nu_R \rightarrow l + H$ & $\bar{l} + \bar{H}$ (& SUSY Analogs)

LEPTON?
ASYM
Parameter

$$\mathcal{E}_1 = \frac{1}{8\pi g^2 (M_D^\dagger M_D)_{11}} \sum_{j=2,3} \text{Im} [(M_D^\dagger M_D)_{j1}]^2 f(M_j^2/M_1^2)$$

$M_D = M_{\text{Dirac}}^\nu$ in a basis where M_R^ν is diagonal

$$\rightarrow \mathcal{E}_1 \approx \left(\frac{1}{8\pi}\right) \left(\frac{m_t^0}{v_{EW}}\right)^2 \left|(\sigma + 3\varepsilon) - \gamma\right|^2 \underbrace{(\sin 2\phi_{21})}_{\text{Entries in}} (-3) \left(\frac{M_1}{M_2}\right)$$

ϕ_{21} = Eff. Phase From Dirac & Majorana Neutrino Mass Matrices

Note \mathcal{E}_1 depends crucially on Both M_{Dirac}^ν & $M_{\text{Majorana}}^{(\nu_R)}$.

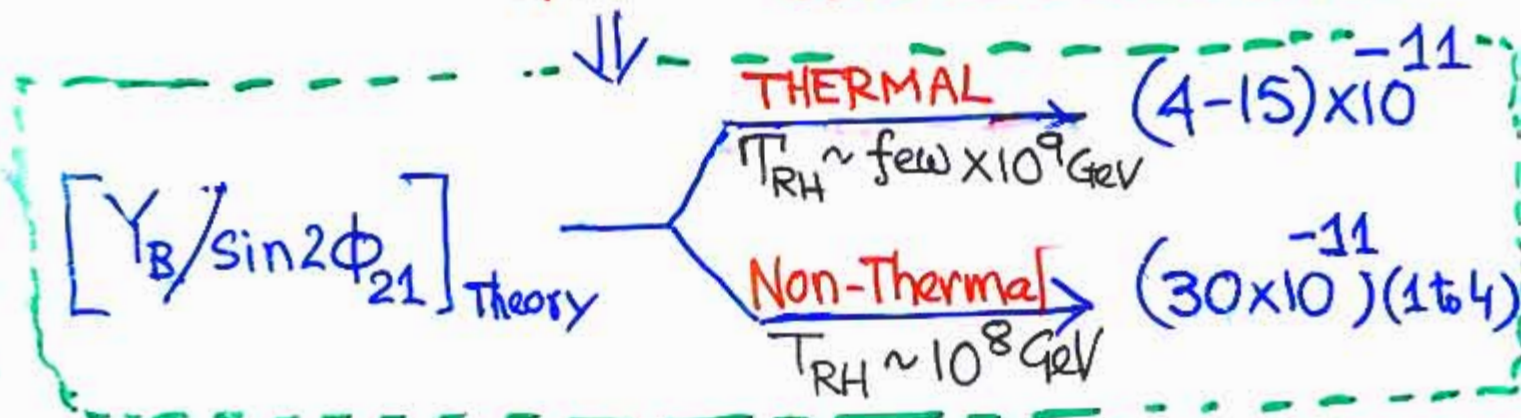
$$Y_L \equiv \frac{n_L - n_{\bar{L}}}{\Delta} = K \epsilon_1 / g^* \quad (g_{\text{MSSM}}^* \approx 228)$$

Wash out? Factor } $K \approx 10^{-4} \times (\tilde{m}_1 / \text{eV}) \rightarrow$ Thermal
 (Büchmüller et al // Giudice et al)

$$\approx 1 \rightarrow \text{Non-Thermal}$$

$$\tilde{m}_1 \equiv (m_D^\dagger m_D)_{11} / M_1 \sim (8-3) \times 10^3 \text{ eV}$$

Lepton Excess $\xrightarrow[\text{Sphalerons}]{\text{EW}}$ $Y_B = C Y_L \approx -Y_L / 3$



Goes Very Well with

$$(Y_B)_{\text{WMAP}} \approx (8.7 \pm 0.4) \times 10^{-11} !$$

for $\boxed{\sin 2\phi_{21}} \approx 1/2$ (Thermal) // $\boxed{\approx (1/4 \text{ to } 1/16)}$ (Non-Thermal)

in accord with Gravitino Constraint.

→ A Unified Description of fermion Masses, ν -oscillation & Baryogenesis (via leptogenesis) within a single predictive framework

V CP Within the Same G(224)/SO(10) Framework

Babu, JCP, Parul Rastogi (To appear)

Challenge: Preserving Success of Fermion masses & mixings, ν -oscillations, (can observed CP & Flavor Violations emerge?)

$\Delta m_K, \epsilon_K, \Delta M_{B_d}, \alpha(B_d \rightarrow \pi/\psi K_S)$

In addition $(edm)_{e,n}, \mu \rightarrow e\gamma, \tau \rightarrow \mu\gamma$...

→ Non-trivial Constraint

Find natural phases (10-30)% can preserve all the successes for fermion masses & neutrino osc with

$\eta_W \approx 0.3$ & $\rho_W \approx 0.17$

Very close to SM CKM
 $\eta_W \approx 0.33, \rho_W \approx 0.19$

And thus agree with all four with SUSY Amp $\sim (5-10)\%$ \rightarrow SM amp.

Without this will need 3 miracles!

SUSY effects will show in

- 1) edm , 2) $\mu \rightarrow e\gamma, \tau \rightarrow \mu\gamma$.

VI

CP Within The Same $G(224) // SO(10)$ -Framework 18

Babu, JCP, Parul Rastogi (To appear)

Assume SUSY Breaking Preserves Flavor & Chirality
at say $M_{st} \gtrsim M_{GUT}$ (Gaugino Med // msugra //
... CMSSM, ...)CP & Flavor in $SM \oplus SUSY \rightarrow$ Yukawa //

RGE // Phases in Fermion Mass Matrices

EXPTL. FACTS } $\Delta M_K, \epsilon_K, \Delta M_{B_d}, \alpha(B_d \rightarrow \pi^0 \pi^0)$
 In accord with SM CKM to within $\sim 20\%$

Also limits on $\mu \rightarrow e\gamma, \tau \rightarrow \mu\gamma, (edm)_{e,n}$ etc.

Challenge: Can observed CP & Flavor Viols
 emerge preserving successes of the framework
 in fermion masses & ν -oscillations?

→ A Non-trivial challenge

Find → For natural phases ($\sim 10-30\%$) in
 Dirac Mass Parameters ($\sigma, \eta, \epsilon, \epsilon'$...)
can yield obs. CP & flavor Viols,
while preserving the successes in fermion
masses & ν oscillations!

For phases in a natural range, get

$$\hat{\eta}_W \approx 0.3, \hat{\rho}_W \approx 0.16 \leftrightarrow \begin{matrix} \text{SM CKM} \\ \eta_W \approx 0.33 \\ \rho_W \approx 0.19 \end{matrix}$$

close to SM CKM - values

$(\hat{S}M + \text{SUSY})$ in accord with all 4 entities with $A(\text{SUSY})$ typically $\sim (1 \text{ to } 10\%) \hat{A}_{SM}$, but $A(\text{SUSY})$ important for $\epsilon_K //$

$$\tilde{q}_i \times \tilde{q}_j \rightarrow \text{Calculate } (\text{Re}, \text{Im}) \delta_{LL}^{ij} // \text{RR} \\ m_{\tilde{q}} \approx 1 \text{ TeV}, \alpha = 0.6$$

$$(\Delta m_K)_{\text{Tot}} \approx (\Delta m_K)_{\hat{S}M} \approx 3.4 \times 10^{-15} \text{ GeV} \left. \begin{matrix} \\ \end{matrix} \right\} \frac{\text{Re } A(\text{SUSY})}{\text{Re } A(\hat{S}M)} = -0.6\%$$

$$(\epsilon_K)_{\text{Tot}} \approx \left(\frac{2}{3}\right) (\epsilon_K)_{\hat{S}M} \approx 2.1 \times 10^{-3} \quad \frac{\text{Im}(A_{\text{SUSY}})}{\text{Im}(A_{\hat{S}M})} \approx -33\%$$

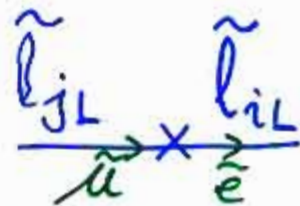
$$(\Delta m_{B_d})_{\text{Tot}} \approx (\Delta m_{B_d})_{\hat{S}M} \approx 3.4 \times 10^{-13} \text{ GeV} \quad \left(\frac{\text{SUSY}}{\hat{S}M}\right)_{\text{Re}} \approx 0.5\%$$

$$\alpha(B_d \rightarrow J/\psi K_s)_{\text{Tot}} \approx 0.66 \quad \left(\frac{\text{SUSY}}{\hat{S}M}\right)_{\text{Im}} \approx +6\%$$

$$\alpha(B_d \rightarrow \phi K_s)_{\text{Tot}} \approx 0.65 \quad \left(\begin{matrix} \text{Although } \delta_{RR}^{23} \\ \text{enhanced } \leftrightarrow \Theta_{23}^2, \\ \text{not enough to} \\ \text{give large deviation} \end{matrix} \right)$$

Thus the $G(224)/SO(10)$ - Framework has met the challenge so far as regards CP , & quark-flavor viol.

VI B) ν Masses \leftrightarrow LFV



$$(\delta m_{\tilde{l}}^2)_{ij} \approx -\frac{1}{8\pi^2} (3m_0^2 + a_0^2) (Y_\nu^\dagger Y_\nu)_{ij} \ln \frac{M}{M_{N_i}}$$

Dirac ν Mass \rightarrow Basis Y_ℓ & $Y(\nu_R)$ Diagonal

$$B(\mu \rightarrow e\gamma) = c \left(\frac{\alpha^3}{G_F^2}\right) \frac{|\delta m_{\tilde{l}}^2|_{12}^2}{m_S^8} \tan^2 \beta$$

$\mathcal{O}(1) \rightarrow$ can be $(10 - 5)$

$$B(\mu \rightarrow e\gamma) \approx 10^{-12} - 10^{-14} \quad \left(\begin{array}{c} \text{BPW} \\ \text{BPR} \end{array} \right) \rightarrow \left(m_0, m_{1/2}, \mu, \tan\beta \right) \rightarrow < 1.2 \times 10^{-11}$$

$\tan\beta = 3$

Can distinguish between SO(10) - Models

BPW

$$(M_\nu^D) \propto \begin{bmatrix} \nu_{\mu R} & \nu_{\tau R} \\ 0 & \sigma - 3\epsilon \\ \sigma + 3\epsilon & 1 \end{bmatrix}$$

$$M_\ell \propto \begin{bmatrix} \mu_R & \tau_R \\ 0 & \eta - 3\epsilon \\ \eta + 3\epsilon & 1 \end{bmatrix}$$

Albright & Barr (lopsided)

$$M_\nu^D \propto \begin{bmatrix} 0 & \tilde{\epsilon} \\ -\tilde{\epsilon} & 1 \end{bmatrix}$$

$$M_\ell \propto \begin{bmatrix} 0 & \tilde{\sigma} + \tilde{\epsilon} \\ -\tilde{\epsilon} & 1 \end{bmatrix}$$

$$\tilde{\sigma} \approx 1$$

$$\Rightarrow (Y_\nu)_{23} / (Y_\nu)_{33} = \sigma - 3\epsilon$$

$$(Y_\ell)_{23} / (Y_\ell)_{33} = \eta - 3\epsilon$$

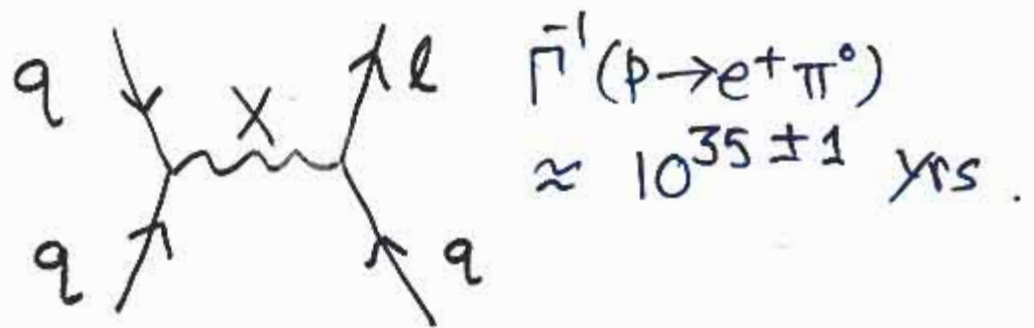
$$\text{Diff} = \frac{\eta - \sigma}{0.15 \quad 0.11} \approx 0.04$$

$$B(\mu \rightarrow e\gamma)_{\text{Albright, Barr}} / B(\mu \rightarrow e\gamma)_{\text{BPW}} \approx 625$$

VII Link Between ν Masses & Proton Decay 20

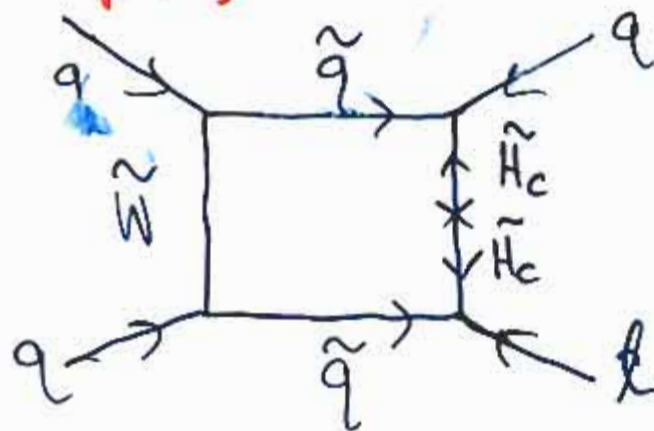
In addition to

- ① Familiar $d=6$ gauge boson Mediation



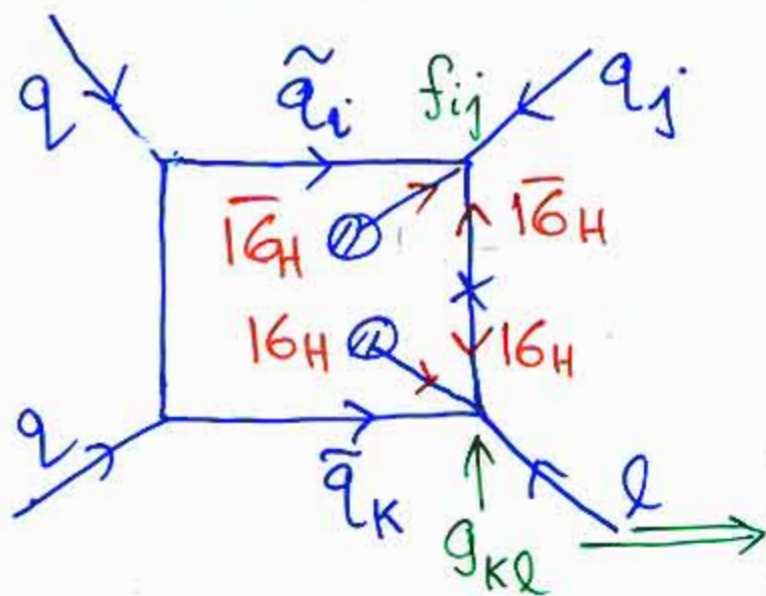
- ② Standard $d=5$ Color-triplet Higgsino Mediated

$10_H = (2, 2, 1)_H + (1, 1, 6)_H$
Needs doublet-triplet splitting $\leftarrow \begin{matrix} \downarrow \\ 3^c \\ H_c \end{matrix} + 3^* \begin{matrix} \downarrow \\ \tilde{H}_c \end{matrix}$



$\Gamma^{-1}(p \rightarrow \bar{\nu} K^+)$
 $\lesssim 2 \times 10^{34} \text{ yrs.}$

③ New $d=5$ operators \leftrightarrow Majorana Masses of ν_R 's



$$f_{ij} 16_i 16_j 16_H 16_H / M$$

Generically $16_i 16_H$ in
45 & 1 of $SO(10)$

ENTERS INTO DIRAC MASS
MATRICES $\leftrightarrow V_{CKM} \neq \mathbb{1}$

Babu, Pati & Wilczek (1997)

$$\tau^{-1} (p \rightarrow \bar{\nu} K^+) \text{ New } d=5 \approx 10^{-33} - 10^{-34} \text{ yrs.}$$

$$BR (p \rightarrow \mu + K^0) \text{ New } d=5 \approx (10 - 30)\%$$

SUSY
SO(10)
or
G(224)

Note - that these contribs would generically be present, even if "standard" $d=5$ operators med. by color triplets $\subset 10_H$ are absent, as in SUSY G(224)

The $\mu + K^0$ mode A Signature of this Mechanism

Summary on proton Decay : d=5

$$\left. \begin{array}{l} \text{SUSY SU(5)} \\ \text{MSSM} \end{array} \right\} \bar{\Gamma}^1(p \rightarrow \bar{\nu} K^+) \leq 2 \times 10^{31} \text{ yrs}$$

Excluded
by
SuperK //
IMB

$$\left. \begin{array}{l} \text{SUSY SO(10)} \\ \text{MSSM} \end{array} \right\} \bar{\Gamma}^1(p \rightarrow \bar{\nu} K^+) \leq 1.9 \times 10^{33} \text{ yrs}$$

Tightly
Constrained
(std. d=5)

$$\left. \begin{array}{l} \text{SUSY SO(10)} \\ \text{ESSM} \end{array} \right\} \bar{\Gamma}^1(p \rightarrow \bar{\nu} K^+) \leq 2 \times 10^{34} \text{ yrs}$$

std.
d=5

$$\left. \begin{array}{l} \text{SUSY G(224)/SO(10)} \\ \text{MSSM or ESSM} \end{array} \right\} \bar{\Gamma}^1(p \rightarrow \bar{\nu} K^+) \approx 10^{33} - 10^{34} \text{ yrs}$$

New
d=5
↓
(ν Masses)

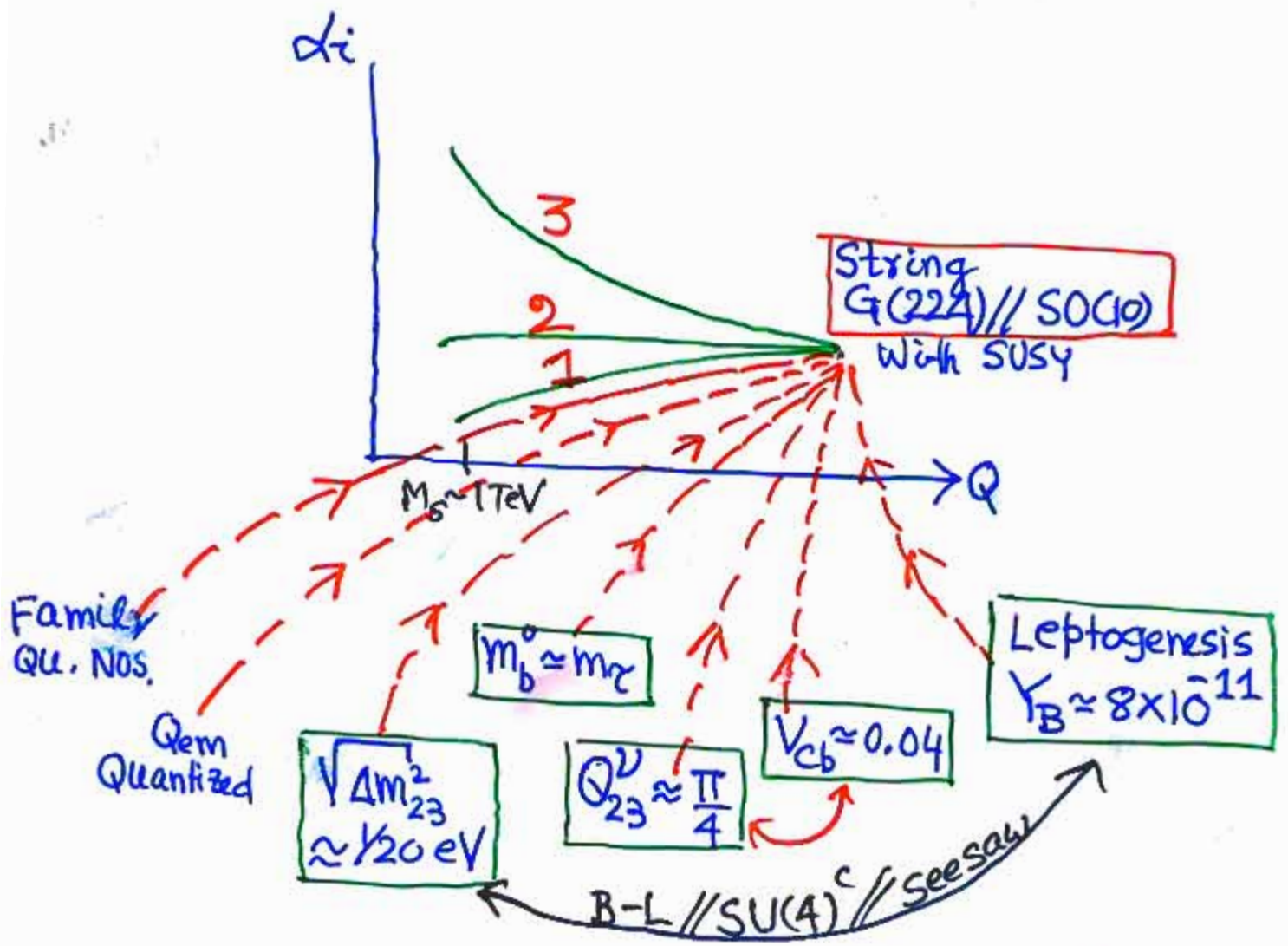
Last Two fully Compatible with present limits, Must be seen with improvement by factor of 5-10, or else SUSY SO(10) or string G(224), which is otherwise so successful, would have a major set back.

Proton decay : d=6 : SUSY SU(5)/SO(10) //

and Flipped SU(5)
Higher Dim. GUTS →

$$\bar{\Gamma}^1(p \rightarrow e^+ \pi^0) \approx 10^{35.3 \pm 1.3} \text{ yrs}$$

VIII Rendezvous In Particle Physics



All these features hang together neatly within a single unified framework \rightarrow Hard to believe this can be a mere coincidence.

Rendezvous Incomplete \rightarrow Two Missing Links

- 1) Supersymmetry \rightarrow LHC
- 2) Proton Decay \rightarrow Need Next Gen. Detector.

Conclusion

The tiny mass of the neutrino ($m_\nu < 1 \text{ eV}$) holds the key to:

(i) THE UNIFICATION SCALE

$$\left. \begin{array}{l} M_X \approx 2 \times 10^{16} \text{ GeV} \\ \text{dist} \approx 10^{30} \text{ cm} \end{array} \right\} \rightarrow M_R^2 \sim 10^{15} \text{ GeV}$$

(ii) NATURE OF THE UNIFICATION SYMMETRY

$$\left. \begin{array}{l} \text{SU}(4) - \text{Color} \\ m(\nu^c)_{\text{Dirac}} = m_{\text{top}}(M_X) \end{array} \right\} \rightarrow \begin{array}{l} G(224) / \\ \text{SO}(10) \\ \text{Route} \\ \text{SU}(5) \end{array}$$

(iii) ORIGIN OF AN EXCESS OF MATTER OVER ANTIMATTER

$$\left. \begin{array}{l} \text{ORIGIN OF AN EXCESS} \\ \text{OF MATTER OVER} \\ \text{ANTIMATTER} \end{array} \right\} \rightarrow \text{OUR OWN ORIGIN!}$$

The SeeSaw Mechanism enables us to turn the key!

Proton Decay and Supersymmetry remain
The Two Missing Links.