

# **NEUTRINOS: KEY TO NEW PHYSICS**

*(30 years beyond the Standard Model)*

**P. Ramond**  
University of Florida

SEESAW 1979-2004  
Fujihara Seminar, February 2004

# STANDARD MODEL LEGACIES

- **Agreement with Data**
- Weakly Coupled Gauge Theories
- Gauge Anomaly Cancellations
- Three Families of Fermions
- Three Massless Neutrinos
- Spontaneously Broken Symmetries
- Fundamental Scalar Particle

## NEW & OLD PUZZLES

- CP Violation in Strong Interactions
- Bizarre Mass and Mixing Patterns
- Plethora of Parameters
- Baryon Asymmetry and Cosmology
- Gravity and the Cosmological Constant

# GRAND UNIFICATION

- Gauge Structures *Unified*
- Quarks & Leptons *Related*
- Anomaly Cancellations *Explained*
- Gauge Couplings *Nearly Unified*
- Baryon and Lepton Numbers *Broken*

# GRAND UNIFIED LEGACIES

- Quark & Lepton Masses *Linked*
- Large Energy Scale:  
Hierarchy Problem
- Monopole Surplus:  
Inflationary Cosmology
- Proton Decay
- Baryon Asymmetry
- Tiny Neutrino Masses
- Flavor Riddles *Unresolved*
- Gravity *Ignored*

# **SUPERSTRINGS**

- Gravity & Gauge Forces *Unified*
- Supersymmetry *Required*
- Extra Dimensions *Required*

**AT WHAT ENERGY?**

# **Favorite Scenario**

**As the Universe Cools...**

**Extra Dimensions Collapse First**

**Supersymmetry Hangs On**

*... for a while*

# SUPERSYMMETRY

- Bosons and Fermions *Linked*
- Gauge Couplings *Truly Unified*
- Fundamental Scalars *Required*
- Gauge Hierarchy *Managed*
- Top Quark Mass *Predicted*
- ElectroWeak Symmetry *Broken*

# SUPERSYMMETRY'S LEGACIES

- Supersymmetry Breaking Mechanism
- Acute Flavor Problem
- Origin of the  $\mu$ -term

# TINY NEUTRINO MASSES

*ONLY EXPERIMENTAL EVIDENCE*

*FOR PHYSICS*

*BEYOND THE STANDARD MODEL*

# MASS MEASUREMENTS

$$\Delta m_{\odot}^2 = |m_{\nu_1}^2 - m_{\nu_2}^2| \sim 7. \times 10^{-5} \text{ eV}^2$$

Homestake, Kam, SuperK, SNO, KamLand

$$\Delta m_{\oplus}^2 = |m_{\nu_2}^2 - m_{\nu_3}^2| \sim 3. \times 10^{-3} \text{ eV}^2$$

SuperK

$$\sum_i m_{\nu_i} < .71 \text{ eV}$$

Wmap

# MASS PATTERNS

HIERARCHICAL

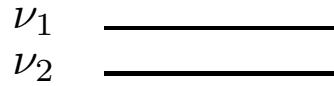
$$\nu_3 \quad \text{_____}$$

$$\nu_2 \quad \text{_____}$$

$$\nu_1 \quad \text{_____}$$

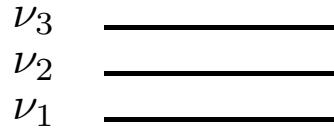
$$|m_{\nu_1}| < |m_{\nu_2}| \ll |m_{\nu_3}|$$

INVERTED



$$|m_{\nu_1}| \simeq |m_{\nu_2}| \gg |m_{\nu_3}|$$

HYPERFINE



$$|m_{\nu_1}| \simeq |m_{\nu_2}| \simeq |m_{\nu_3}|$$

# NOVEL MIXING PATTERN

ONE **SMALL** and TWO **LARGE** ANGLES

$$\sin^2 2\theta_{\oplus} > 0.85$$

SuperK

$$0.30 < \tan^2 \theta_{\odot} < 0.65$$

Homestake, Kam, SuperK, SNO, KamLand

$$|\epsilon|^2 < 0.005 \quad \text{Chooz}$$

$$\mathcal{U}_{MNS} = \begin{pmatrix} \cos \theta_{\odot} & \sin \theta_{\odot} & \epsilon \\ -\cos \theta_{\oplus} \sin \theta_{\odot} & \cos \theta_{\oplus} \cos \theta_{\odot} & \sin \theta_{\oplus} \\ \sin \theta_{\oplus} \sin \theta_{\odot} & -\sin \theta_{\oplus} \cos \theta_{\odot} & \cos \theta_{\oplus} \end{pmatrix}$$

# Experimental Questions

- Majorana or not Majorana?
- Mass Scale?
- Chooz Angle?
- CP Violation
- Sign of  $\Delta m^2$ ?

# Theoretical Questions

## *Right-Handed Neutrinos*

- *Brane or Bulk?*
- *How Many?*
- *How Heavy?*
- *How Hierarchical?*
- *Decays: Leptogenesis?*

First Glimpse of Physics  
Beyond the Standard Model!

# Standard Model Analysis

$\Delta I_W = \frac{1}{2}$  Quark Yukawa Matrices

$$\mathcal{M}^{(2/3)} = \mathcal{U}_{2/3} \begin{pmatrix} m_u & 0 & 0 \\ 0 & m_c & 0 \\ 0 & 0 & m_t \end{pmatrix} \mathcal{V}_{2/3}^\dagger$$

$$\mathcal{M}^{(-1/3)} = \mathcal{U}_{-1/3} \begin{pmatrix} m_d & 0 & 0 \\ 0 & m_s & 0 \\ 0 & 0 & m_b \end{pmatrix} \mathcal{V}_{-1/3}^\dagger$$

$$\mathcal{U}_{CKM} \equiv \mathcal{U}_{2/3}^\dagger \mathcal{U}_{-1/3}$$

$$\mathcal{U}_{CKM} \approx \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} + \mathcal{O}(\lambda)$$

Quark Mixings Similar for Charges 2/3 and -1/3  
(up to small Cabibbo mixings)

$\Delta I_W = \frac{1}{2}$  Lepton Yukawa Matrices

$$\mathcal{M}^{(-1)} = \mathcal{U}_{-1} \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix} \mathcal{V}_{-1}^\dagger$$

one right-handed neutrino per family:

$$\mathcal{M}_{\textcolor{red}{Dirac}}^{(0)} = \mathcal{U}_0 \mathcal{D}_0 \mathcal{V}_0^\dagger = \mathcal{U}_0 \begin{pmatrix} m_1 & 0 & 0 \\ 0 & m_2 & 0 \\ 0 & 0 & m_3 \end{pmatrix} \mathcal{V}_0^\dagger$$

$$\mathcal{U}_{MNS} \equiv \mathcal{U}_{-1}^\dagger \mathcal{U}_0$$

Quark-like mixing patterns?

# RIGHT-HANDED NEUTRINO MASSES

$$\mathcal{M}_{Majorana}^{(0)} \sim \Delta I_W = 0$$

*Measure the Standard Model Cut-off*

## UNPROTECTED

(except by lepton number)

Gell-Mann,R., Slansky; Yanagida

$$\frac{\Delta I_W = \frac{1}{2}}{\Delta I_W = 0} \quad \text{Suppression}$$

Tiny Neutrino Masses:

Large Scale in the Standard Model

Grand Unification & Supersymmetry?

$$\mathcal{M}_{\textcolor{blue}{Seesaw}}^{(0)} \; = \; \mathcal{M}_{\textcolor{red}{Dirac}}^{(0)} \frac{1}{\mathcal{M}_{\textcolor{blue}{Majorana}}^{(0)}} \, \mathcal{M}_{\textcolor{red}{Dirac}}^{(0)\,T}$$

$$\mathcal{M}_{\textcolor{blue}{Seesaw}}^{(0)} \; = \; \mathcal{U}_0 \, (\mathcal{D}_0 \, \mathcal{V}_0^\dagger \, \frac{1}{\mathcal{M}_{\textcolor{blue}{Majorana}}^{(0)}} \, \mathcal{V}_0^* \, \mathcal{D}_0) \, \mathcal{U}_0^T$$

$$\equiv \qquad \qquad \qquad \mathcal{U}_0 \; \textcolor{red}{C} \; \mathcal{U}_0^T$$

$$\textcolor{red}{C} \; : Central \; Matrix$$

$$\mathcal{C} = \mathcal{F} \mathcal{D}_\nu \mathcal{F}^T$$

$$\mathcal{F} \mathcal{F}^\dagger = 1$$

*Physical (“1”, “2”, “3”) Neutrino Masses*

$$\mathcal{D}_\nu = \begin{pmatrix} m_{\nu_1} & 0 & 0 \\ 0 & m_{\nu_2} & 0 \\ 0 & 0 & m_{\nu_3} \end{pmatrix}$$

*Seesaw Lepton Mixing Matrix:*

$$\mathcal{U}_{MNS} = \mathcal{U}_{-1}^\dagger \mathcal{U}_0 \mathcal{F}$$

$\mathcal{F}$  : Seesaw  $\Delta I_W = 0$  Addition

Where are the large angles?

Both in  $\mathcal{F}$ ? *not generic*

Only one in  $\mathcal{F}$ ? *generic*

# BASICS OF GRAND UNIFICATION

Quark and Lepton  $\Delta I_W = \frac{1}{2}$  Yukawa Relations:

$$SU(5) : \quad \mathcal{M}^{(-1/3)} \sim \mathcal{M}^{(-1)T}$$

$$SO(10) : \quad \mathcal{M}^{(2/3)} \sim \mathcal{M}_{Dirac}^{(0)}$$

$$\mathcal{U}_{-1/3} \sim \mathcal{V}_{-1}^*$$

$$\mathcal{U}_{2/3} \sim \mathcal{U}_0$$

$$\mathcal{U}_{MNS}~=~\color{red}\mathcal{U}_{-1}^\dagger \mathcal{U}_0\,\mathcal{F}$$

$$\sim \color{red}\mathcal{U}_{-1}^\dagger \mathcal{U}_{-1/3} \mathcal{U}_{CKM}^\dagger\,\mathcal{F}$$

$$\sim \color{red}\mathcal{V}_{-1/3}^T \mathcal{U}_{-1/3} \mathcal{U}_{CKM}^\dagger\,\mathcal{F}$$

**SYMMETRIC  $\mathcal{M}_{-1/3}$  ?**

$$\mathcal{U}_{-1/3} = \mathcal{V}_{-1/3}^*$$

$$\mathcal{U}_{MNS} = \mathcal{U}_{CKM}^\dagger \mathcal{F}$$

$\mathcal{F}$  contains two large angles!

**NOT GENERIC**

*Non Abelian Structure for  $\mathcal{F}$  ?*

# NON-SYMMETRIC $\mathcal{M}_{-1/3}$ ?

$$\mathcal{U}_{CKM} = \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix}$$

$$\frac{m_s}{m_b} \sim \lambda^2 \quad \frac{m_d}{m_b} \sim \lambda^4$$

Reconstruct Charge  $-\frac{1}{3}$  matrix:

$$\mathcal{M}^{(-1/3)} = \begin{pmatrix} \lambda^4 & \lambda^3 & \lambda^3 \\ \lambda^? & \lambda^2 & \lambda^2 \\ \lambda^? & \lambda^? & 1 \end{pmatrix}$$

$$\mathcal{M}^{(-1/3)} = \begin{pmatrix} \lambda^4 & \lambda^3 & \lambda^3 \\ \lambda^3 & \lambda^2 & \lambda^2 \\ \lambda^1 & \lambda^0 & 1 \end{pmatrix}$$

$\leftarrow U(1) \text{ Charge} \rightarrow$

Froggatt-Nielsen

$$\mathcal{M}^{(-1/3)} \approx \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & a & b \end{pmatrix} + \mathcal{O}(\lambda)$$

NOT SYMMETRIC!

$$\mathcal{U}_{MNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{\oplus} & \sin \theta_{\oplus} \\ 0 & -\sin \theta_{\oplus} & \cos \theta_{\oplus} \end{pmatrix} \mathcal{F}$$

$$\tan \theta_{\oplus} = \frac{a}{b} \sim \mathcal{O}(1)$$

$\mathcal{F}$  may contain only one large angle!

GENERIC

# CHOOZ Angle as Cabibbo Mixing Effect?

Symmetric Charge  $-\frac{1}{3}$  Yukawa Matrix

$$\mathcal{U}_{MNS} = \begin{pmatrix} 1 & \lambda & \lambda^3 \\ \lambda & 1 & \lambda^2 \\ \lambda^3 & \lambda^2 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta_{\odot} & \sin \theta_{\odot} & \lambda^{\gamma} \\ -\cos \theta_{\oplus} & \sin \theta_{\odot} & \cos \theta_{\oplus} \cos \theta_{\odot} & \sin \theta_{\oplus} \\ \sin \theta_{\oplus} & \sin \theta_{\odot} & -\sin \theta_{\oplus} & \cos \theta_{\odot} \\ & & & \cos \theta_{\oplus} \end{pmatrix}$$

$$\theta_{13} \sim \begin{cases} \lambda^{\gamma} \\ \lambda \sin \theta \sim 0.7 \lambda \end{cases}$$

Asymmetric Charge  $-\frac{1}{3}$  Yukawa Matrix

$$\mathcal{U}_{MNS} = \begin{pmatrix} 1 & \lambda^{\alpha} & \lambda^{\beta} \\ \lambda^{\alpha} & \cos \theta_{\oplus} & \sin \theta_{\oplus} \\ \lambda^{\beta} & -\sin \theta_{\oplus} & \cos \theta_{\oplus} \end{pmatrix} \begin{pmatrix} \cos \theta_{\odot} & \sin \theta_{\odot} & \lambda^{\gamma} \\ -\sin \theta_{\odot} & \cos \theta_{\odot} & \lambda^{\delta} \\ \lambda^{\gamma} & \lambda^{\delta} & 1 \end{pmatrix}$$

$$\theta_{13} \sim \begin{cases} \lambda^{\gamma} \\ \lambda^{\alpha+\delta} \\ \lambda^{\beta} \end{cases}$$

Large angles in  $\mathcal{F}$ ?

Dirac Masses in  $\mathcal{D}_0$  are Hierarchical

$$\mathcal{C} \equiv \mathcal{D}_0 \mathcal{V}_0^\dagger \frac{1}{\mathcal{M}_{Majorana}^{(0)}} \mathcal{V}_0^* \mathcal{D}_0$$

**2 × 2 case**

$$\mathcal{D}_0 = m \begin{pmatrix} a \lambda^\alpha & 0 \\ 0 & 1 \end{pmatrix}$$

$M_1, M_2$  : eigenvalues of  $\mathcal{M}_{Majorana}^{(0)}$

Large mixing angle if:

$$\mathcal{C}_{11} \sim \mathcal{C}_{22} \sim \mathcal{C}_{12}$$

$$\longrightarrow \quad \frac{M_1}{M_2} \sim \lambda^{2\beta}$$

Correlated Hierarchy  
between the  
 $\Delta I_W = 0$  and  $\Delta I_W = \frac{1}{2}$  Sectors

Grand Unification?

or if:

$$\mathcal{C}_{11}, \mathcal{C}_{22} \ll \mathcal{C}_{12}$$

$$\frac{\lambda^\alpha m^2}{\sqrt{-M_1 M_2}} \begin{pmatrix} 0 & a \\ a & 0 \end{pmatrix}$$

## MAXIMAL MIXING

Right-Handed Neutrinos are Dirac Partners!

$L_1 - L_2$  conserved!

# A Wolfenstein expansion for the MNS matrix?

## Starting Point

$$\mathcal{U}_{MNS} \sim \begin{pmatrix} \cos \alpha & \sin \alpha & 0 \\ -\frac{\sin \alpha}{\sqrt{2}} & \frac{\cos \alpha}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{\sin \alpha}{\sqrt{2}} & -\frac{\cos \alpha}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix} + \mathcal{O}(\lambda)$$

Cabibbo effect strongest in  $1 - 2$  mixing; smaller in  $2 - 3$  and  $1 - 3$

$$\alpha = \begin{cases} \frac{\pi}{4} ? , \\ \frac{\pi}{6} ? \end{cases}$$

$$\theta_\odot \sim \frac{\pi}{4} - \theta_c ?$$

# New Era: Physics of Right-Handed Neutrinos

Keys to the flavor problem (family symmetries?)

Baryon Asymmetry through Leptogenesis

Their mass patterns are probed by the seesaw

Couple to the Standard Model via  $SO(10)$